

**IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL**  
**2020**  
**Upper Merion Township**

Identify the Municipal Pension System(s) for which you are providing information:

Indicate all that apply with an "X":       Non-Uniform Plan       Police Plan  
 Fire Plan

Q.1	<p>Please provide names and titles of all individuals who provide professional services to Upper Merion Township municipal pension plan(s).</p> <p><i>Kathy Singleton, Fiduciary Advisor:</i></p> <ul style="list-style-type: none"> <li>• <i>Provides daily contact with client regarding administration of account</i></li> <li>• <i>Facilitates pension disbursements</i></li> <li>• <i>Coordinates in-flows and out-flows of cash</i></li> <li>• <i>Provides audit support</i></li> </ul> <p><i>Joyce Frydryck, Fiduciary Manager:</i></p> <ul style="list-style-type: none"> <li>• <i>Leadership oversight of Account Administrator including problem resolution, product development, and project management.</i></li> </ul> <p><i>Regina Richards, Institutional Client Advisor:</i></p> <ul style="list-style-type: none"> <li>• <i>Meets with clients to ensure their needs are being met</i></li> <li>• <i>Introduces new products and services that will add value for client</i></li> </ul> <p><i>The following individuals provide managerial oversight of the individuals listed above:</i></p> <ul style="list-style-type: none"> <li>• <i>Melissa Casazza - PNC Institutional Asset Management, Fiduciary Market Director</i></li> <li>• <i>Joseph Miskel Jr. - PNC Institutional Asset Management, Market Managing Director</i></li> <li>• <i>Joshua Kakel - PNC Institutional Asset Management, Regional Managing Director</i></li> </ul>
Q.2	<p>PNC Capital Advisors. The individual below has managerial oversight over the services provided by PNC Bank, N.A. for the Plan:</p> <p>Alistair Jessiman, Chairman and President of PNC Capital Advisors, LLC</p>
Q.3	<p>According to our records, none of the individuals named in Item 1 or Item 2 are current or former employees of Upper Merion Township.</p>
Q.4	<p>According to our records, none of the individuals named in Item 1 or Item 2 are a current or former registered Federal or State lobbyist.</p>

Q.5	<p>PNC has not employed or paid compensation to a third party intermediary, agent or lobbyist to directly or indirectly communicate with any official or employee of Upper Merion Township Pension Plan or in connection with any transaction or investment involving PNC and the municipal pension system.</p> <p>PNC does engage legal counsel from time to time to represent it in discussions with legal counsel to the municipal pension system.</p>
Q.6	<p>According to our records, since December 17, 2009 no PNC officer, director or employee of PNC has solicited a contribution to any municipal official or candidate for municipal office in Upper Merion Township or to the political party or political action committee of an official or candidate.</p>
Q.7	<p>According to our records, since December 17, 2009, neither PNC nor any Affiliated Entity has made a contribution to a municipal official or candidate for municipal office in Upper Merion Township.</p>
Q.8	<p>Neither PNC nor any Affiliated Entity has any direct financial, commercial or business relationship with any official identified on the List of Municipal Officials, of Upper Merion Township.</p> <p>PNC may potentially have customary banking relationships (checking and savings accounts, investment accounts, loans, trust accounts, etc.) with officials identified on the “List of Municipal Officials” of Upper Merion Township.</p>
Q.9	<p>According to our records, neither PNC nor any Affiliated Entity has given any gifts having more than a nominal value to any official listed on the “List of Municipal Officials” of Upper Merion Township.</p>
Q.10	<p>Refer to the attached report entitled PA Political Contributions 2015-2019. This report reflects all contributions of at least \$500 (singly or in the aggregate) made from 2015-2019 by an officer, director, Executive-Level Employee or owner of at least 5% of the firm or an Affiliate Entity to any candidates for public office or the holders of such offices in the Commonwealth and to any political committees of such candidates or office holders. Note that PNC officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215).</p>
Q.11	<p>According to our records, we are not aware of any apparent, potential or actual conflict of interest with respect to any officer, director or employee of PNC and officials or employees of Upper Merion Township.</p>
Q.12	<p>No additional information to disclose.</p>

Please provide the name(s) and position(s) of the person(s) participating in the completion of this Disclosure.

**One of the individuals** identified by the **Contractor in Item #1** above must participate in completing this Disclosure and must sign the below verification attesting to the participation of those individuals named below.

**Name:** Michelle Calderone

**Name:**

**Position:** Compliance Program Advisor

**Position:**

**Name:**

**Name:**

**Position:**

**Position:**



\_\_\_\_\_  
SIGNATURE

Compliance Program Advisor

\_\_\_\_\_  
TITLE

11/24/2020

\_\_\_\_\_  
DATE

**VERIFICATION**

I, Joyce Frydryck , hereby state that I am a Fiduciary Manager for  
(Name) (Position)  
PNC Bank Institutional Asset Management and I am authorized to make this verification.  
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to Upper Merion Township's Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 70S-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Joyce Frydryck  
Signature

11/24/2020  
Date

**PA Political Contributions (2015 - 2019) - Officer/Director/Executive Employee**

Note: PNC Officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System

- (i) *The contribution was made within the last five years.*
  - (ii) *The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the person or affiliated entity.*
  - (iii) *The amount of the contribution was at least \$500 in the form of:*
    - (A) *A single contribution by a person included in subparagraph (ii).*
    - (B) *The aggregate of all contributions by all persons listed in subparagraph (ii).*
  - (iv) *The contribution was made to:*
    - (A) *A candidate for any public office in the Commonwealth or to an individual who holds that office.*
    - (B) *A political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.*
- (Section 705-A. Disclosure)*

<b>Contributor Name</b>	<b>Contributor Is</b>	<b>Contributor Address</b>	<b>Date of Contribution</b>	<b>Name of Recipient</b>	<b>Recipient Is A</b>	<b>State Title Of Office</b>	<b>Amount of Contribution</b>
Bill S Demchak	Chairman & CEO	5564 Aylesboro Avenue Pittsburgh, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$350
Bill S Demchak	Chairman & CEO	5564 Aylesboro Avenue Pittsburgh, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$350
Gregory Jordan	Officer	601 West Drive Sewickley, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$150
Gregory Jordan	Officer	601 West Drive Sewickley, PA	11/10/2015	Bill Peduto	Candidate (or Candidate's Committee) for State or Local Office	Mayor of Pittsburgh	\$150
Gregory Jordan	Officer	601 West Drive Sewickley, PA	4/7/2015	Hugh McGough	Candidate (or Candidate's Committee) for State or Local Office	Court of Common Pleas Judge	\$1,000
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	6/27/2015	Leanne Krueger-Braneky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	4/19/2016	Leanne Krueger-Braneky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$500
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	5/18/2017	Leanne Krueger-Braneky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	11/17/2017	Leanne Krueger-Braneky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$100
Thomas Usher	PNC Director	26124 Fawn Wood Ct. Bonita Springs, FL	7/21/2015	Mike George	Candidate (or Candidate's Committee) for State or Local Office	PA Supreme Court	\$1,000
Gregory Jordan	Officer	601 West Drive Sewickley, PA	9/5/2017	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350
Bill S Demchak	Chairman & CEO	5564 Aylesboro Avenue Pittsburgh, PA	9/4/2018	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350
Gregory Jordan	Officer	601 West Drive Sewickley, PA	9/4/2018	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350