

Q.5	<p>PNC has not employed or paid compensation to a third party intermediary, agent or lobbyist to directly or indirectly communicate with any official or employee of Upper Merion Township Pension Plan or in connection with any transaction or investment involving PNC and the municipal pension system.</p> <p>PNC does engage legal counsel from time to time to represent it in discussions with legal counsel to the municipal pension system.</p>
Q.6	<p>According to our records, since December 17, 2009 no PNC officer, director or employee of PNC has solicited a contribution to any municipal official or candidate for municipal office in Upper Merion Township or to the political party or political action committee of an official or candidate.</p>
Q.7	<p>According to our records, since December 17, 2009, neither PNC nor any Affiliated Entity has made a contribution to a municipal official or candidate for municipal office in Upper Merion Township.</p>
Q.8	<p>Neither PNC nor any Affiliated Entity has any direct financial, commercial or business relationship with any official identified on the List of Municipal Officials, of Upper Merion Township.</p> <p>PNC may potentially have customary banking relationships (checking and savings accounts, investment accounts, loans, trust accounts, etc.) with officials identified on the “List of Municipal Officials” of Upper Merion Township.</p>
Q.9	<p>According to our records, neither PNC nor any Affiliated Entity has given any gifts having more than a nominal value to any official listed on the “List of Municipal Officials” of Upper Merion Township.</p>
Q.10	<p>Refer to the attached report entitled PA Political Contributions 2016-2020. This report reflects all contributions of at least \$500 (singly or in the aggregate) made from 2016-2020 by an officer, director, Executive-Level Employee or owner of at least 5% of the firm or an Affiliate Entity to any candidates for public office or the holders of such offices in the Commonwealth and to any political committees of such candidates or office holders. Note that PNC officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12 C.F.R. 215).</p>
Q.11	<p>According to our records, we are not aware of any apparent, potential or actual conflict of interest with respect to any officer, director or employee of PNC and officials or employees of Upper Merion Township.</p>
Q.12	<p>No additional information to disclose.</p>

Please provide the name(s) and position(s) of the person(s) participating in the completion of this Disclosure.

One of the individuals identified by the **Contractor in Item #1** above must participate in completing this Disclosure and must sign the below verification attesting to the participation of those individuals named below.

Name: Bethany Ford

Name:

Position: Compliance Program Advisor

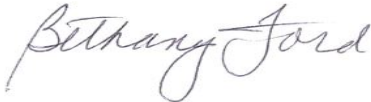
Position:

Name:

Name:

Position:

Position:



SIGNATURE

Compliance Program Advisor

TITLE

11/30/21

DATE

VERIFICATION

I, Joyce Frydryck , hereby state that I am a Trust Manager for
(Name) (Position)
PNC Bank Institutional Asset Management and I am authorized to make this verification.
(Contractor)

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Entities Providing Professional Services to Upper Merion Township’s Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 70S-A(e) of Act 44.

I understand that false statements herein are made subject to the penalties of 18 P.A.C.S. § 4904 relating to unsworn falsification to authorities.

Joyce Frydryck Signature

11/30/21 Date

PA Political Contributions (2016 - 2020) - Officer/Director/Executive Employee

Note: PNC Officer is defined as designated under Regulation O of the Board of Governors of the Federal Reserve System (12.C.F.R.215).

- (i) The contribution was made within the last five years.
- (ii) The contribution was made by an officer, director, executive-level employee or owner of at least 5% of the person or affiliated entity.
- (iii) The amount of the contribution was at least \$500 in the form of:
 - (A) A single contribution by a person included in subparagraph (ii).
 - (B) The aggregate of all contributions by all persons listed in subparagraph (ii).
- (iv) The contribution was made to:
 - (A) A candidate for any public office in the Commonwealth or to an individual who holds that office.
 - (B) A political committee of a candidate for public office in the Commonwealth or of an individual who holds that office.
 (Section 705-A. Disclosure)

Contributor Name	Contributor Is	Contributor Address	Date of Contribution	Name of Recipient	Recipient Is A	State Title Of Office	Amount of Contribution
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	4/19/2016	Leanne Krueger-Braneky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$500
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	5/18/2017	Leanne Krueger-Braneky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$250
Jane Pepper	PNC Director	128 Springton Lake Road Media, PA	11/17/2017	Leanne Krueger-Braneky	Candidate (or Candidate's Committee) for State or Local Office	State Representative	\$100
Gregory Jordan	Officer	601 West Drive Sewickley, PA	9/26/2017	Josh Shapiro	Candidate (or Candidate's Committee) for State or Local Office	Attorney General	\$350
Gregory Jordan	Officer	601 West Drive Sewickley, PA	10/7/2020	Josh Shapiro	Candidate (or Candidate's Committee) for State or Local Office	Attorney General	\$350
Gregory Jordan	Officer	601 West Drive Sewickley, PA	9/5/2017	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350
Gregory Jordan	Officer	601 West Drive Sewickley, PA	9/4/2018	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350
Bill S Demchak	Chairman & CEO	5564 Aylesboro Avenue Pittsburgh, PA	9/4/2018	Tom Wolf	Candidate (or Candidate's Committee) for State or Local Office	Governor	\$350